

SPICe Briefing Air Quality in Scotland

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Air pollution currently represents the greatest environmental threat to human health. Elevated pollution levels, usually located in urban areas with high volumes of road traffic, have been associated with a number of health issues, including heart disease and lung cancer. Air pollution can also have damaging effects on the environment and crop productivity. The sources of air pollution are distributed across many sectors, including transport, agriculture and energy industries. This briefing discusses the origins and impacts of air pollution in Scotland, with reference to the relevant legislation and the various strategies designed to improve air quality.



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CONTENTS

EXECUTIVE SUMMARY	3
BACKGROUND	4
List of abbreviations	4
THE MAIN AIR POLLUTANTS AND THEIR PRINCIPAL SOURCES	5
THE COST OF AIR POLLUTION	8
Health Impacts	8
Environmental and Agricultural Impacts	
AIR QUALITY LEGISLATION	12
Trans-Atlantic agreements	
Box 1. European Commission Directives	
Box 2. EC Directive Definitions	
UK	
Scotland	
WHO guidelines	
Box 3. Legal Action: ClientEarth UK vs. Government	1/
AIR POLLUTION TRENDS IN SCOTLAND	18
Particulate Matter	
Nitrogen dioxide	
Sulphur dioxide	
Ammonia	
AIR QUALITY POLICIES	22
SCOTLAND	
TRANSPORT	
The Impact of Dieselisation	
Box 4. The Volkswagen Affair	
Emissions testing	
Diesel particulate filters and Selective Catalytic Reduction	
Non-exhaust emissions	
Congestion charges and Low Emission Zones (LEZS)	
	20 20
ACTIVE TRAVEL	
AGRICUI TURE	29
Box 5. Air pollution strategies abroad	31
Beijing, China	
Milan, Italy	
ENERGY PRODUCTION	
URBAN PLANNING	
AIR POLLUTION AND CLIMATE CHANGE	
CRITICISMS OF AIR QUALITY GOVERNANCE IN THE UK & SCOTLAND	
UK	34
Scotland	
SOURCES	36
RELATED BRIEFINGS	52



EXECUTIVE SUMMARY

Air pollution now represents the **greatest global environmental risk to human health**. Poor air quality is linked to a growing number of health problems, including lung cancer and cardiovascular disease, culminating in millions of premature deaths every year. In the UK alone, air pollution is now estimated to cause **40,000 deaths each year**. The groups most vulnerable to air pollution are children, the elderly and those with pre-existing medical conditions. The **economic costs** of air pollution are accumulated in health care, loss of workdays through sick leave, rehabilitation of the environment and damaged buildings, and reductions in crop yield.

Over recent years the profile of air quality has risen in the media, with events such as the **VW** emissions controversy and the EU infraction case against UK Government for breaching air pollution limits placing it at the forefront of the news. Since many air pollutants are also greenhouse gases, there is an opportunity for policymakers to address both air pollution and climate change simultaneously. This could benefit the health of humans and the environment alike, but it requires a coordinated and concerted effort across multiple sectors, particularly transport, agriculture and the energy industry.

The pollutants currently of greatest concern are nitrogen oxides and particulate matter, as these are believed to be most damaging to human health. The **transport sector** is the biggest source of these emissions, and is targeted by many air quality campaign groups. However, some experts believe that policymakers should turn their attention to **agricultural emissions** of ammonia, which have largely been neglected.

Scotland has recognised the problem of air pollution, becoming the first country in Europe to adopt the WHO recommended limit for $PM_{2.5}$ in April 2016. However, many parts of Scotland still have air pollution levels above the limits contained in Scotland's air quality regulations, which are not legally binding.

This briefing explores air quality in Scotland, focussing on human and environmental health impacts, trends in emissions and the various legislation, policies and strategies employed to reduce them.

BACKGROUND

The term "smog" was first used to describe the Glasgow pollution episode of 1909 which caused over 1,000 deaths (CCPS, 2006). The issue worsened in the UK over the following decades, culminating in the London smog of December 1952 which killed an estimated 12,000 people, and was so dense that cows reportedly choked to death in fields and public transport virtually came to a standstill (Met Office, 2015, Bell *et al*, 2004). This triggered the passing of the first Clean Air Act in 1956 which banned emissions of black smoke and paved the way for legislation on air pollution (UK Government, <u>1956</u>).

In many respects, UK air quality has vastly improved since then. The phasing out of sulphurrich coal and the transition to unleaded petrol and low-sulphur diesel, have resulted in dramatic reductions in sulphur dioxide and lead emissions (Royal College of Physicians (RCP), 2016). This, and more recent examples such as the indoor smoking ban (Scottish Government, 2005, UK Government, 2006) demonstrate that policies and legislation can prove highly effective in improving air quality. However, much of the UK is still exceeding air quality limits, largely due to increases in road transport, which now represents the largest single source of air pollution. Indeed, road transport accounts for 33% of nitrogen oxides (NO_x) emissions and at least 21% of coarse particulate matter (PM₁₀), and represents the main source of pollution in 92% of all Air Quality Management Areas (AQMAs) in the UK (Faulkner and Russell, 2010). Nevertheless, there are other sectors which have a role to play in reducing air pollution, as Dr Carlos Dora (Coordinator for Public Health, Environmental and Social Determinants of Health for the World Health Organisation (WHO), 2014) highlighted:

"Excessive air pollution is often a by-product of unsustainable policies in sectors such as **transport, energy, waste management and industry**. In most cases, healthier strategies will also be more economical in the long term due to **health-care cost savings** as well as **climate gains**."

List of abbreviations
AQMAs = Air Quality Management Areas CAFS = "Cleaner Air for Scotland: The Road to a Healthier Future" CO = Carbon monoxide CO ₂ = Carbon dioxide COMEAP = Committee on the Medical Effects of Air Pollution EC = European Commission FoES = Friends of the Earth Scotland LAOM = Local Air Quality Management
$NH_3 = Ammonia$
NH_x = collective term for ammonium (NH_4^+) and ammonia (NH_3) NMVOCs = Non-Methane Volatile Organic Compounds NO_x = collective term for nitrogen oxides NO_2 = Nitrogen dioxide PHE = Public Health England PM = Particulate matter RCP = Royal College of Physicians RoTAP = Review of Transboundary Air Pollution
SEPA = Scottish Environment Protection Agency SO ₂ = Sulphur dioxide SO _x = collective term for sulphur oxides WHO = World Health Organisation

THE MAIN AIR POLLUTANTS AND THEIR PRINCIPAL SOURCES

Air pollution is defined as the "contamination of the indoor or outdoor environment by any chemical, physical or biological agent that modifies the natural characteristics of the atmosphere" (WHO, <u>2016</u>). This includes a variety of gases and particulates that can be detrimental to human health, ecosystems and agricultural crops (Natural Hazards Partnership, <u>2015</u>). The main sources of air pollutants and the subsequent effects are listed below and summarised in Figure 1 (page 7):

Nitrogen oxides (NO_x) which includes:

- Nitric oxide (NO) and nitrogen dioxide (NO₂): mainly from transport (diesel combustion), power stations and domestic combustion (e.g. gas boilers).
- Nitrous oxide (N₂O): mainly from agriculture (fertilisers and manure), and around 20% from industrial processes and road transport (IPCC, <u>2007</u>). Leads to the formation of ground-level ozone (O₃).

Particulate matter (PM):

- PM comprises a concoction of solid and liquid particles mainly consisting of ammonium, nitrate, sulphate, metals, and organic materials.
- Also includes black carbon (soot): emitted from diesel engines, residential heating, energy production from oil and coal, and is the most strongly light-absorbing component of PM, with an estimated global warming effect up to 1,500 times higher than CO₂ (US EPA, <u>2012</u>, Scottish Government, <u>2015b</u>).
- Either classed as **primary** (emitted directly at source) or **secondary** (formed in the atmosphere from chemical reactions between pollutant gases such as NH₃, SO₂ and NO_x).
- Classified according to size of particle:
- Coarse particles (PM₁₀):
 - Particles with a diameter up to 10 micrometres (µm).
 - Sources: Road dust, brake and tyre wear, sea salt, quarries, construction, soil.
 - Small enough to get into the lungs.
- Fine particles (PM_{2.5}):
 - Between 1 and 2.5 µm diameter
 - Sources: Diesel exhaust, bonfires, domestic combustion, brake and tyre wear.
 - Small enough to penetrate deep into the lung.
- Ultra-fine particles (PM_{<0.1}):
 - Sources: Diesel engine exhausts (almost pure carbon).
 - Potentially small enough to enter the blood stream.

Sulphur dioxide (SO₂):

- Sources: Fuel combustion (coal, oil or gas) by power stations. About 1% from road transport.
- Causes winter-time smog, acid rain and respiratory problems (wheezing, asthma attacks).

Ammonia (NH₃):

• Main source: **agriculture** (manure, ruminant livestock, fertilisers and slurry management). Smaller amounts from transport and waste disposal.

Ozone (O₃)

- **Secondary pollutant** produced indirectly by the reaction between NO₂ and hydrocarbons (e.g. VOCs) in sunlight (Avnery *et* al, <u>2011</u>).
- Known as tropospheric ozone (as opposed to stratospheric ozone which protects the Earth from the sun's radiation).

- 310 times the global warming potential of CO₂.
- Causes inflammation of the respiratory tract, irritation of the eyes, nose and throat, and coughing.

Volatile organic compounds (VOC's)

- Covers a range of chemicals, including Benzene and 1,3-Butadiene.
- Sources: household products (aerosols, paints, disinfectants etc.), domestic and industrial combustion and petrol car exhausts.

Carbon monoxide (CO)

• Sources: incomplete combustion of fossil fuels (mainly from road transport, but also industrial and domestic combustion).

Toxic organic micropollutants (TOMPs)

• Sources: incomplete combustion of fuels, pesticides and solvents, pharmaceuticals, and industrial chemicals.

Lead (Pb)

- Sources: combustion of coal, iron, steel and nonferrous metals.
- UK emissions have declined by 98% since 1990 due to the phasing out of leaded petrol (<u>NAEI</u>).

Although this briefing will mainly focus on outdoor (ambient) air pollution, it should be noted that **indoor air pollution** is also a matter of concern. Indoor air pollution has been linked to 99,000 deaths in Europe in 2012, originating from poorly maintained gas heating and cooking appliances, furniture fabrics, and many other sources (RCP, 2016). It is also important to note the **transboundary nature** of air pollution, meaning that the areas affected by air pollution may be far removed from the locations where the pollutants originated. Once emitted from a source, air pollutants may be transported in the atmosphere across country borders and seas. This was exemplified by the events that led to the creation of the Gothenburg Protocol (1979), which involved the acidification of Scandinavian lakes due to deposition of SO₂ pollution originating from continental Europe. In the UK it is estimated that 60% of PM_{2.5} pollution originates from elsewhere, mainly France (12%), Germany (9%) and the North Sea (9%), although this varies considerably between different pollutants (WHO, 2006). This highlights the importance of **international agreements** in achieving cleaner air.





THE COST OF AIR POLLUTION

Air pollution incurs economic costs across a range of sectors, predominantly through health care costs, workdays lost, and the deterioration of the environment and buildings. The overall economic cost to society associated with air pollution in the UK has been estimated at **£20 billion** per year (RCP, <u>2016</u>). Low-income groups are disproportionally affected since they are more likely to live in urban areas with high pollution levels, less access to green spaces and tend to lack healthy food in their diet (RCP, 2016).

Health Impacts

Now regarded as the world's largest single environmental health risk, air pollution was estimated to cause **3.7 million premature deaths worldwide** in 2012 (Brauer *et al*, <u>2015</u>, Lelieveld *et al*, <u>2015</u>, WHO, <u>2014</u>). Outdoor air pollution has been linked to many lifethreatening diseases (Figure 2), and is responsible for **25% of strokes**, **23% of ischaemic heart disease** and **14% of lung cancer** cases worldwide (Prüss-Ustün et al, <u>2016</u>). Although the worst air pollution occurs largely in China and India, it is a global problem. The latest research suggests that outdoor air pollution (mainly from NO₂ and PM_{2.5}) is estimated to cause around **40,000 deaths each year in the UK** (RCP, 2016). It is important to note that these "attributable" or "premature" deaths represent an estimate of the total mortality effect of air pollution (Committee on the Medical Effects of Air Pollution (COMEAP), <u>2010</u>). In other words, it is a representation of the contribution of air pollution to the variety of causes of death associated with it (e.g. cardiovascular and respiratory diseases). Estimates of mortality burden of air pollution have risen over recent years (Table 1).

Papart	Dollutant	Number of attributable deaths		
Report	Fonutant	Scotland	UK	
COMEAP (2010)	PM _{2.5}	1,560	28,861	
Public Health England (PHE) (<u>2014</u>)	PM _{2.5}	2,094 (3.9%)	28,969	
Royal College of Physicians (2016)	NO_2 and $PM_{2.5}$	n/a	40,000	

Table 1. The rising trend in the estimated number of deaths attributed to air pollution in the UK and Scotland alone.

It is difficult to determine the health effects of individual pollutants as humans are generally exposed to a mixture of different pollutants simultaneously. However, the principal health risks associated with air pollution are widely reported as:

- ischaemic heart disease
- stroke
- chronic obstructive pulmonary disease (COPD)
- lung cancer
- asthma
- acute respiratory infections in children
- diabetes
- dementia
- obesity RCP (2016).

PM has been listed by the World Health Organisation (WHO) as a **carcinogenic** (WHO, <u>2013</u>). PM_{2.5} is often cited as the air pollutant of greatest concern, as these particles have detrimental effects on the heart and blood vessels and can lead to blood clots, thereby increasing the risk of heart attacks. This is reflected in the higher number of heart attack cases occurring on high pollution days (Silverman *et al*, 2010). Long-term exposure to fine PM has been linked to **cardiovascular morbidity** and **shortened life expectancy** (RCP, 2016). The COMEAP report (2010) stated that 29,000 deaths (equating to an 11.5 year average reduction in life expectancy) in the UK were attributable to $PM_{2.5}$ pollution, with an **average loss of 3-4 months** of life expectancy in Scotland and Northern Ireland (COMEAP, 2010). Several organisations, including the RAC Foundation (2014), have recommended that a stronger focus be placed on particulate matter, especially fine PM (PM_{2.5}). This is supported by a study which concluded that a **10 µg/m³ reduction in fine particulate pollution** would have **a greater impact on life expectancy** in England and Wales **than eliminating passive smoking or road traffic accidents** (Miller and Hurley, 2006).

Exposure to air pollution can also influence a person's health in the **long-term**. A study which examined a cohort of almost 370,000 people in the UK concluded that the health impacts of exposure to black smoke and sulphur dioxide were still evident thirty years later (Hansell *et al*, 2009). The high-risk groups most prone to the effects of air pollution include **infants**, **young children**, **the elderly**, and **people already suffering from respiratory or cardiovascular disease**. **Pregnant women** are another vulnerable group, as the harmful biological factors induced by particulates could potentially infiltrate the placenta via the bloodstream (RCP, 2016). This may impair the mental and physical development of the baby in a variety of ways, such as:

- poor foetal growth
- low birth weight
- delayed neurocognitive (brain) development
- premature birth
- organ damage
- still birth (RCP, 2016).



Figure 2. Some of the human health impacts of air pollution. (BaP = Benzo(a)pyrene: a PAH which originates from incomplete combustion of fuels (wood, waste, motor engines.) (RCP, 2016).

The link between air pollution and **asthma** is still debated. Research showing that air pollution exacerbates asthmatic symptoms has accumulated over decades, however emerging evidence

suggests that it can also cause new-onset asthma (Guarnieri and Balmes, <u>2014</u>). Exposure to $PM_{2.5}$ and NO_2 has been found to increase the incidence of asthma in children, with O_3 and SO_2 also being linked to the condition (WHO, <u>2013</u>, Bowatte *et al*, <u>2014</u>, Guarnieri and Balmes, 2014). Asthma caused 72 deaths in Scotland in 2014 and is estimated to cost the Scottish health service over £130 million (National Records of Scotland, <u>2015</u>, Mukherjee *et al*, <u>2014</u>). Scotland has been reported to have the highest proportion of asthma sufferers in the world, with over 18% of the population exhibiting symptoms (Masoli *et al*, <u>2004</u>).

Scotland also has the highest age-standardised premature death rates (i.e. taking into account that most causes of death vary significantly with age and sex) for **cardiovascular disease**, **coronary heart disease** and **stroke** in the UK (British Heart Foundation, <u>2014</u>). It may be hypothesised, therefore, that reducing air pollution could help to lower the incidence of these diseases, saving both lives and healthcare costs. The areas in Scotland with the highest proportion of total deaths being associated with particulate (PM) pollution are:

- 1) Edinburgh (4.9%)
- 2) Glasgow City (4.7%)
- 3) Falkirk (4.3%)
- 4) North Lanarkshire (4.3%)
- 5) = Aberdeen City and West Lothian (both 4.2%) (Public Health England (PHE), 2014).

Studies have shown that the smoking ban, which came into force in Scotland in 2006, resulted in reduced rates of heart attacks, and also reduced the incidence of strokes and asthma (Pell *et al*, <u>2008</u>, Mackay *et al*, <u>2010</u>, Mackay *et al*, <u>2013</u>). It may be predicted, therefore, that reducing emissions of air pollutants wold reap similar benefits in saving lives.

Environmental and Agricultural Impacts

Acid deposition, nitrogen deposition and ground level ozone are the three main routes by which air pollution can reduce crop yields and cause damage to ecosystems and the environment (Figure 3).



Figure 3. The environmental impacts of sulphur and nitrogen related air pollution mainly from transport, industry and agriculture (source: EPA).

Of all the air pollutants, ground level ozone (O_3) has the greatest detrimental impact on crop vields, with global crop losses as great as 15% for wheat, 14% for sovbean, and 5.5% for maize (Wilkinson et al, 2011). This could rise to 26% for wheat, 19% for soybean, and 8.7% for maize according to O_3 levels predicted to occur by the year 2030 (Avnery *et al*, 2011). The current rate of **crop losses** attributed to ozone pollution has been estimated to cost approximately £12 billion worldwide and £183 million for the UK (Avnery et al, 2011, Natural Hazards Partnership, 2015). O_3 damages plants by inhibiting photosynthesis, nutrient and water uptake, and other physical functions culminating in stunted growth, lower crop quality and ultimately lower yield (Fiscus et al, 2005; Morgan et al, 2006; Booker et al, 2009). The stunting effect of ozone on plant growth was highlighted in a study by Gregg et al, 2003. They compared the growth of cottonwood trees in urban and rural environments, finding that trees in the city grew twice as big as those in the countryside. The previous hypotheses that this was due to a fertilising effect of nitrogen or sulphur-containing pollutants on the soil, or the warmer temperatures of urban areas were disproved, and instead the authors concluded it was a result of the higher levels of ozone in the rural sites. Ground-level ozone levels are generally lower in urban areas since O₃ reacts with nitric oxide (NO) from vehicle emissions to form NO₂.

 O_3 can also reduce the resilience of plants to other stresses, such as drought, which are predicted to occur more frequently in future years due to climate change. Furthermore, **grazing livestock** may be indirectly affected by ozone pollution due to declines in fodder quality and quantity (Hayes *et al*, 2016). Thus the implications of ozone pollution could be substantial for Scottish agriculture given that about 4.9 million hectares (63% total land area) of Scotland is used for grazing, crops, grass, fallow or set-aside land (Scottish Government, 2015). This demonstrates how air pollution can be seen as a **threat to global food security**. Ground-level ozone (O₃) is classed as a **secondary air pollutant** as it is formed when other pollutants (ozone precursors) such as NO_x and VOCs react with sunlight (Figure 1, Defra, 2007). Peak ozone episodes occur more frequently in **summer months**, causing fog formation, respiratory problems, and damage to the environment and food production (COMEAP, 2015). The UK limit for ozone of 100µg/m³ (not to be exceeded more than ten times a year), is more stringent than that set by the European Commission (120µg/m³) (Defra, 2007, EC, 2008).

Acid rain (or more accurately, **precipitation**) is formed through atmospheric reactions mainly involving SO₂ and NO_x (Figure 3, Singh and Agrawal, 2006). It causes the acidification of soil and water, which may result in the decay of forests, decline in crop yields, and increased mortality of fish and aquatic life (Menz and Seip, 2004). The transboundary nature of acid rain means that it may affect areas far removed from the source, a point which prompted the creation of the <u>Convention on Long-Range Transboundary Air Pollution</u> in 1979 (see below) and the establishment of the <u>UK Upland Waters Monitoring Network</u> in 1988 which measures acidic precipitation deposition. Acid rain deposition rates have fallen considerably since then due largely to the fall in the burning of sulphur-heavy coal, to the extent that the main contributor to acid rain formation is now NO_x rather than SO₂ (Defra, 2010).

Nitrogen deposition in the UK is largely a result of ammonia pollution, with other sources being nitrogen oxides (NO_x) and nitric acid (HNO₃). N-deposition causes the enrichment of nutrients in soil or water environments, termed **eutrophication**, which in turn leads to **biodiversity loss** (Figure 3). Sensitive species such as mosses, lichens and heath plants are outcompeted by opportunistic species better adapted to these nutrient-rich conditions (Imperial Consultants Ltd., 2013, Fangmeier *et al*, 1994). N deposition also causes **algal blooms** which can release toxic gases and deplete the water of oxygen, which in turn may cause fish to die of oxygen deprivation (anoxia) and have cascading impacts along the food-chain (Figure 3, <u>UNEP</u>). This could hamper the Scottish Government's "2020 Challenge for Scotland's Biodiversity" which aims to "protect and restore biodiversity on land and in our seas, and to support healthier ecosystems" (Scottish Government, 2013).

AIR QUALITY LEGISLATION

The legislation surrounding air pollution in Scotland can be broadly separated into two streams: the **European Commission's Directives** and the **Scottish Regulations**. These are discussed below, in addition to other relevant laws and agreements at international and UK levels.

Trans-Atlantic agreements

The aforementioned **Convention on Long-Range Transboundary Air Pollution (CLRTAP)** (1979), frequently referred to as the Gothenburg Protocol, is an agreement drawn up by the United Nations Economic Commission for Europe (UNECE) between 51 UNECE member States from Europe, the United States and Canada. The Convention addresses the environmental consequences of air pollution, namely photochemical pollution (smog), acidification and eutrophication of soils, vegetation and water bodies. It has since been extended by eight protocols that identify specific measures to be undertaken by Parties to cut their emissions of air pollutants.

Box 1. European Commission Directives

The European Commission first introduced regulations on air pollution two decades ago, and has since made several amendments and additions as outlined below. The limit values detailed in these directives are **legally binding objectives** that must be met by all Member States by the specified deadline. Failure to comply with these rules could lead to legal action and financial penalties (see section on <u>Legal Action</u>). The key EC Directives relating to air quality are:

- <u>1996</u>: Air Quality Framework Directive (Council Directive 96/62/EC) sets limit values for NO₂, SO₂, fine PM (soot), lead, ozone, benzene, CO, polyaromatic hydrocarbons (PAHs), cadmium, arsenic, nickel and mercury. Followed by four "Daughter Directives" between 1999 and 2004.
- <u>2001</u>: The National Emissions Ceiling Directive (Directive 2001/81/EC) sets limits for the main causal factors of acidification, eutrophication and ground-level ozone: SO₂, NO₂, NH₃ and VOCs.
- <u>2005</u>: The Thematic Strategy on Air Pollution outlines long-term objectives for air quality standards and suggests measures for meeting them. The key objectives were to reduce SO₂ by 82%, NO_x by 60%, VOCs by 51%, ammonia by 27% and PM_{2.5} by 59% by 2020 (with 2000 as the baseline year).
- <u>2008</u>: The Ambient Air Quality and Cleaner Air for Europe (CAFE) Directive (Council Directive 2008/50/EC): introduces PM_{2.5} standards (Annex XIV) and time extensions to meet the limits. The directive sets out the legal limit values for the different pollutants (Annex XI), thresholds for human and environmental health (Annex II) and for obligatory public information releases and the standardised methodology for assessing air quality.
- <u>2010</u>: Industrial Emissions Directive (Directive 2010/75/EC) relates to emissions from applicable industrial sources, incorporating seven existing pieces of legislation.
- <u>2013</u>: Clean Air Policy Package and Clean Air Programme for Europe sets targets up to the year 2030, listing supportive measure to reduce emissions.

It is important to note, however, that the limit values set in these Directives are not necessarily "safe" and could still have a negative impact on human health (Wilkinson, <u>2015</u>). WHO has published its own set of guidelines for air pollution, some of which are considerably lower than the EU limits. As stated previously, the Scottish Government adopted WHO's guideline limit for PM2.5 in 2016, and intends to do the same for PM10 in due course.

Table 2.

Box 2. EC Directive Definitions

Limit value: based on scientific research, with the aim of avoiding, preventing or reducing harmful effects on human health and/or the environment as a whole, to be attained within a given period and not to be exceeded once attained.

Target value: a level, fixed with the aim of avoiding more long-term harmful effects on human health and/or the environment as a whole, to be attained where possible over a given period.

Alert threshold: a level beyond which there is a risk to human health from brief exposure and at which immediate steps should be taken¹.

1 EU (1996) Council Directive 96/62/EC.

UK

The UK's contribution towards the creation of international and European air quality legislation is led by the UK Government, with input from the devolved administrations of Scotland, Ireland and Wales. In addition to European and international laws, the UK has developed its own set of legislation on air quality:

- Clean Air Acts (<u>1956</u>, <u>1968</u>, <u>1993</u>): Triggered by the London smog of 1952, which resulted in 12,000 deaths (RCP, 2016). Introduced rules regarding domestic and industrial combustion, such as the prohibition of dark smoke (mainly from burning highsulphur coal) from chimneys.
- The Environment Act <u>1995</u>: Part IV on Air Quality requires the UK government and devolved administrations to produce a national air quality strategy, and the devolved national administrations are responsible for meeting EU Directive air quality limit values. The most recent version of this document, <u>The Air Quality Strategy for England</u>, <u>Scotland</u>, <u>Wales and Northern Ireland</u> (UK Government, 2007), defines the roles of central and local government, Scottish Environment Protection Agency (SEPA), industry, business, transport, individuals and other groups in meeting air quality (EU) limits for the ten main pollutants (PM₁₀, PM_{2.5}, NO_x, O₃, SO₂, PAHs, benzene, 1,3,butadiene, CO, Pb, and NH₃). Local authorities are required to monitor air quality, and for areas where the air quality limits are not met (or unlikely to be met by the set date) the relevant authority must declare it an Air Quality Management Area (AQMA) and draw up an action plan aimed at reducing levels of the pollutant. Local authorities are obliged to make copies of air quality reviews and assessments available to the public, and to consult locally on the action plan.
- The Road Traffic Reduction (National Targets) Act <u>1998</u>: requires the Secretary of State to produce progress reports for meeting targets to reduce road traffic in the UK, including:
 - (a) the emission of gases which contribute to climate change
 - (b) effects on air quality
 - (c) effects on health
 - (d) traffic congestion

- (e) effects on land and biodiversity
- (f) danger to other road users
- (g) social impacts

Limits for NH₃ were first introduced in the UK under the UNECE Gothenburg Protocol, with the **2020 emissions target** for the UK being **283 kilotonnes NH₃ per year** (a 5% reduction on 2005 levels), whereas the National Emissions Ceilings Directive legally binds the UK to a target of 297 kilotonnes NH₃ per year which came into effect in 2010 (EC, <u>2001</u>). However, in comparison to other air pollutants, the UK Government has not prioritised NH₃ as demonstrated in DEFRA's Air Quality Strategy (<u>2007</u>) which did not cover ammonia reasoning that "we do not consider it appropriate at this time to set objectives for ammonia without consideration of wider environmental issues and regulatory frameworks".

Scotland

Air quality is a devolved matter in the UK, meaning that the Scottish Government is responsible for developing domestic policies and legislation to improve air quality and reduce risks to human health in Scotland. This is done in partnership with the other Devolved Administrations and the Department for Environment, Food and Rural Affairs (Defra) to ensure objectives set out in the Air Quality Strategy for England, Scotland, Wales and Northern Ireland are achieved (Scottish Government, 2009).

The **Air Quality Standards (Scotland) Regulations** <u>2010</u> transpose the Ambient Air Quality Directive requirements (2008/50/EC) into Scottish legislation. These limits are identical across the UK and achievement is a mandatory requirement for Member States. Domestic objectives have also been set under the Environment Act 1995 and these are set out in the **Air Quality (Scotland) Regulations** <u>2000</u>, the **Air Quality (Scotland) Amendment Regulations** <u>2002</u> and the **Air Quality (Scotland) Amendment Regulations** <u>2016</u>. In contrast to the EU requirements, Scotland has set stricter levels for PM₁₀ and PM_{2.5}. In April 2016, the Scottish Government became the **first country in Europe** to adopt the WHO recommended guideline value for PM_{2.5} of 10 μ g/m³ annual mean (As stated previously, the Scottish Government adopted WHO's guideline limit for PM_{2.5} in 2016, and intends to do the same for PM₁₀ in due course.

Table 2, Scottish Parliament, <u>2016</u>). Local authorities are responsible for achieving these objectives, and the implementation of this legislation will require all local authorities in Scotland to add $PM_{2.5}$ to the list of other air pollutants currently being monitored. As with the other pollutants, in the eventuality that the limit is breached, the local authority must declare the area an AQMA.

However, unlike the EU limits, these standards are **not legally binding**, meaning that the local authorities are not liable to legal action if the limits are breached. The reasoning behind this is that pollutant sources are often beyond the direct control of local authorities. Local authorities are required to demonstrate they are doing all that is reasonably possible in making progress towards meeting the objectives, and areas which are declared as AQMAs are expected to produce an action plan within 12 months (Scottish Government, 2009). The Scottish Government acknowledged the effectiveness of AQMA's may be limited (Scottish Government, 2013):

"New Air Quality Management Areas (AQMAs) are still being declared for these pollutants and air quality action plans appear generally to be having **little impact**, despite many local authorities having had plans in place for several years now."

There are currently **32 AQMA's in Scotland** distributed among 14 councils. The majority (23) of these AQMAs had breached NO_2 standards, and 20 breached PM_{10} . Only 1 AQMA, covering

Grangemouth petrochemical complex, was declared to have exceeded SO₂ limits (<u>Defra</u>, <u>Scottish Air Quality</u>, 2016).

WHO guidelines

Although they concur for most air pollutants, there are some distinct differences between the WHO guidelines and the European limits for air quality. The WHO recommended annual mean for PM_{10} , for instance, is half that of the EU, whilst the SO_2 limit set by WHO is much (1750%) lower than the EU's limit (Table 2). As stated previously, the Scottish Government adopted WHO's guideline limit for $PM_{2.5}$ in 2016, and intends to do the same for PM_{10} in due course.

Table 2. Summary of air pollutant limits and guidelines set by Scotland, the EU and WHO (Scottish Government, <u>2010</u>, UK Government, <u>2007</u>, EC, <u>2008</u>, WHO guidelines, <u>2005</u>).

Pollutant	Scotland Regulations	EU limit values	WHO AQ guideline	Averaging period	Permitted number of exceedances	
Carbon Monoxide (CO)	10.0 mg m ⁻³	No set limit.		Running 8-hour	-	
Nitrogen Dioxide (NO ₂)	200 µg m⁻³	200 µg m ⁻³	200 µg m⁻³ 1-hour		18	
	40 µg m ⁻³	40 µg m ⁻³	40 µg m ⁻³	Annual	-	
Coarse Particulate Matter	50 µg m ⁻³ †	50 µg m ⁻³	50 μg m⁻³ 24 Hours		7 (Scotland) 35 (EU)	
(PM ₁₀)	18 µg m ⁻³ †	40 µg m ⁻³	20 µg m ⁻³	Annual	-	
Fine Particulate Matter (PM _{2.5})*	No set limit.	No set limit.	25 µg m ⁻³	24 Hours	-	
	10 µg m ⁻³	25 μg m ⁻³ (20 by 2020)	10 µg m⁻³	Annual	-	
		20 µg m⁻³		3-years	-	
Sulphur Dioxide (SO ₂)	350 µg m⁻³	350 µg m⁻³		1-hour	24	
	125 µg m ⁻³	125 µg m⁻³	20 µg m ⁻³	24-hour	3	
	266 µg m⁻³		500 µg m⁻³	15-minute (Scotland)/ 10-minute (WHO)	35	
Ozone (O ₃)*		100 µg m ⁻³	100 µg m⁻³	8 hourly running or hourly *	10	
	Limits and critical levels are listed under the Gothenburg Protocol (2012).		UK emissions ceilings for 2010: 297 kilotonnes			
Ammonia (NH₂)			Lichens and bryophytes (where they form a key part of the ecosystem integrity): 1 µg/m³ annual mean			
• •			Other vegetation: 3 μg/m³ annual mean (with an uncertainty range of 2-4 μ g/m ³)			

* not currently assessed by Scottish local authorities.

† Stage 2 Air Quality strategy objectives to be met by end of 2010.

Box 3. Legal Action: ClientEarth UK vs. Government

After the UK failed to meet the EU's 2010 air quality targets for NO₂, the environmental law firm **ClientEarth** launched a legal case against the UK Government, as outlined below.

July 2005: a Department for Transport report predicts that declining NO₂ and PM₁₀ emissions will temporarily plateau before rising again after 2015 **unless further action is taken**, warning that several areas of the UK **already exceed EU limits** for these pollutants¹.

2011: ClientEarth issues judicial review proceedings against the UK Government's Secretary of State for Environment, Food and Rural Affairs for failing to comply with Articles 13, 22 and 23 of the Ambient Air Quality Directive, i.e. **breaching EC NO**₂ **limits in 16 out of the 43 UK zones** (**including Glasgow**) which were to be met by 1 January 2010. Furthermore, the Government had not applied for a five year time extension, nor produced a satisfactory plan for meeting air quality standards for the zones in question.

2013: The **Supreme Court** declares that the UK had breached EC law and referred the case to the European Court of Justice (CJEU) to clarify the interpretation of the Directive. Meanwhile, the UK **continues to exceed EC limits** with **31 of 43 UK zones (including 4 of the 6 zones in Scotland)** exceeding the maximum annual limit for NO₂, and one zone exceeding the hourly limit².

2014: The **European Commission** begins its own legal action against the UK by issuing a **preliminary warning notice**, but withholds further action awaiting the outcome of the ClientEarth proceedings³. The CJEU rules in favour of ClientEarth, and refers the case back to the Supreme Court. The EC could recommence its own litigation in the future.

16 April 2015: After a one-day hearing, the Supreme Court **unanimously rules against the UK government**, ordering them to draw up a revised national action plan to comply with legal NO₂ limits by the end of the year^{4,5,6}.

December 2015: Defra releases its new <u>air quality strategy publication</u> with proposals for measures to reduce NO₂ as ordered by the Supreme Court. However, the report was deemed inadequate by <u>ClientEarth</u> in addition to several other stakeholders including <u>Environmental Protection UK</u>, <u>Clean</u> <u>Air in London</u>, and <u>Friends of the Earth</u>.

1st **March 2016**: ClientEarth gives the UK Government a 10 day warning to revise and improve the plans, or they will return the case to the High Court. Their main complaint is that the current plans envisage that London will only meet the targets by 2025 and the rest of the UK by 2020, whilst EU law states that the pollution exceedance time should be kept "as short as possible". The environmental group have since lodged papers asking the High Court to demand further action from the UK Government⁷.

28 April 2016: Request for a new judicial review granted; ClientEarth argues that the Government is in breach of its legal duty to produce new air quality plans to cut pollution to legal levels in the shortest possible time, calling them "woefully inadequate". Papers were also served on Scottish and Welsh ministers, the Mayor of London and the Department for Transport as interested parties in the case¹¹.

If the case is not resolved, it will be referred back to the EC and the UK Government could be fined up to £300 million per year for each pollutant in breach. The Scottish Government is also under scrutiny from ClientEarth and could be taken to court in future.

1 Department of Transport (2005)

- 2 Defra (<u>2015</u>)
- 3 European Commission (2014)
- 4 The Guardian (2015)
- 5 BBC News (2015) 6 Defra (2015)
- 7 BBC News (2016)
- 8 ClientEarth (2016)
- 9 Air Quality News (2016)
- 10 ClientEarth, <u>2015</u>
- 11 Client Earth 2016

AIR POLLUTION TRENDS IN SCOTLAND

Overall, air pollutant emissions in Scotland have substantially declined since 1990 (Figure 4). The extent of the progress and efforts made in reducing emissions of the main pollutants (NO₂, PM, SO₂, and NH₃) are discussed in this section.



7990 7991 7993 7993 7994 7995 7996 7997 1998 7999 7999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2017 2013 2013

Figure 4. Trend in air pollutant emissions in Scotland from 1990 to 2013 relative to 1990 levels (Scottish Government, <u>2015</u>, Air Quality Consultants, Ltd., <u>2012</u>). N.B. PM_{2.5} trend represents UK data (Scotland specific data was unavailable).

As depicted above, Scotland has seen strong declines in emissions of most pollutants between 1990 and 2013: **SO**₂ by **87%**, **NO**_x by **67%**, **PM**₁₀ by **53%**, **PM**_{2.5} by **56%** (UK), but just **28%** for **ammonia** (Scottish Government, <u>2015</u>). The main sources of air pollution are transport, energy production, agriculture, industry and domestic combustion (e.g. gas boilers) (Table 3).

Sector	NH ₃	NOx	PM _{2.5}	PM ₁₀	SO ₂	Lead	СО
Energy industries	-	34.6%	7.7	5.7%	79.4%	20.8%	6.5%
Other Combustion	1.3%	11.6%	24.4%	35.2%	7.5%	27.6%	38.3%
Agriculture	86.6%	-	4.4%	21.1%	-	-	-
Transport	1.8%	38.5%	34.8%	16.4%	2.7%	7.0%	30.2%
Industrial operations	0.2%	13.0%	21.9%	13.7%	9.1%	41.5%	22.6%
Waste	4.5%	-	5.5%	-	-	2.9%	-

Table 3. The principal sources of air pollution by sector in Scotland, 2013 (ScottishGovernment, 2015).

Although the order of ranking for sites varies with the different pollutants, the locations in Scotland with generally **higher pollution levels** are **Aberdeen**, **Dundee**, **Edinburgh**, **Glasgow and Perth**. Table 4 shows the sites with the 10 highest mean concentrations for NO₂ in 2015, although it should be remembered that these come from a mixture of monitoring sites, some of which represent worst case scenarios (i.e. roadside concentrations), whilst others are more representative of public exposure. The trend is loosely reversed for ground-level ozone, which tends to be higher in rural areas

Rank	LOCATION	NO₂ (Annual limit: 40 µg m⁻³)	PM₁₀ (Annual limit: 18 μg m ⁻³)
1	Edinburgh St John's Road	65	n/a
2	Glasgow Kerbside	60	n/a
3	Dundee Seagate	51	14.17
4	Perth Atholl Street	48	20.11
5	Dundee Lochee Road	48	18.54
6	Aberdeen Union Street Roadside	46	17.21
=7	Aberdeen Wellington Road	41	22.10
=7	Edinburgh Queensferry Road	41	16.59
9	Glasgow Dumbarton Road	40	18.89
10	South Lanarkshire Rutherglen	38	18.20

Table 4. Top ten ranking sites for air pollution in Scotland based on annual mean NO_2 concentration (with PM_{10} concentrations where available) for 2015.

The trends of the major air pollutants in Scotland are discussed in more detail below.

Particulate Matter

Coarse particulates (PM₁₀) originate predominantly from **combustion**, **agriculture and transport** (Table 3). Scotland's PM₁₀ emissions have been declining since 1990, with the exception of the peak in 2001 thought to have been caused by the burning of animal carcasses in response to an outbreak of foot-and-mouth disease (Aether and Ricardo-AEA, 2015, Figure 4). Between 2010 and 2015, the **average annual PM₁₀ emissions** in Scotland **declined by 18%** (17.87µg/m³ in 2010 to 14.64µg/m³ in 2015). The overall decline in PM levels may be mainly attributed to the implementation of stricter emissions standards for road vehicles, but also to declines in SO₂ emissions which are a source of secondary PM (Fuzzi *et al*, 2015). Similarly the number of monitoring sites in Scotland exceeding the PM₁₀ annual limit (18 µg/m³)has declined, with **13.2%** (10 out of 76) **monitoring sites breaching the limit in 2015**, compared to 25.3% (19 of the 75) the previous year. In 2015, four sites exceeded the permitted number of exceedances of the hourly limit (50 µg/m³), which was more than in 2014 (1 site) but an improvement on 2010 (13 sites).

Fine particulate pollution (PM_{2.5}) is produced in approximately equal parts from emissions from **industry, domestic sources and road transport**. UK data suggests that PM_{2.5} has followed a similar trend to PM₁₀ (Figure 4), although fine particles concentration data in Scotland is currently limited with data being available for just 10 monitoring stations measuring this pollutant in 2015, in comparison to 60 for PM₁₀. It is possible that the trend in fine PM has been underestimated, particularly in areas of high traffic. However, the new Scottish legislation (10 μ g/m³ annual mean) which came into force in April 2016 requires all local authorities to install the necessary equipment to monitor PM_{2.5} in their zone. PM_{2.5} is often cited as the air pollutant of greatest concern in terms of health impacts as the particles are small enough to enter the blood stream which has been linked to increased incidence of heart attacks. Thus the inclusion

of $PM_{2.5}$ in the pollution monitoring and forecast programmes could be very valuable in issuing health warnings during periods of high concentrations.

Secondary PM can be formed by various pollutants, referred to as secondary **PM precursors**, through photo-chemical reactions (i.e. reacting with sunlight) in the atmosphere, (EEA, <u>2010</u>). These PM precursors (NO_x , SO_2 and NH_3) are discussed below.

Nitrogen dioxide

In Scotland, the major sources of NO_x emissions are transport and energy production (Table 3, National Atmospheric Emissions Inventory). According to the National Atmospheric Emissions Inventory (NAEI) statistics, NO_x emissions in the UK have fallen by 65% between 1990 and 2013, which it attributes to reduced emissions from power stations and road transport (NAEI). In Scotland, coal-fired power stations at Longannet and Cockenzie (both now closed) were installed with de-NO_x abatement systems (Boosted Over-Fire Air) which aided this trend as they reduce the NO_x emissions released during coal combustion by up to 25% (Aether and Ricardo-AEA, 2015). However, the reduction in emissions from road transport may have been overestimated since the NAEI based their estimates on non-satisfactory emissions testing results which are now known to be misrepresentative (Box 4). This inconsistency was identified in the Review of Transboundary Air Pollution (RoTAP) (Centre for Ecology and Hydrology (CEH), 2012), when they showed that N deposition rates (wet deposition of nitrate (NO₃⁻)) declined by half as much as NO_x emissions (24% and 50% respectively) over two decades according to NAEI data. This seemed to be unlikely given that N-deposition rates should mirror NO_x emissions rates. In short, this indicates a mismatch between NO_x emissions data and deposition data, potentially caused by a miscalculation (overestimate) of the declines in NO_x emissions from transport.

Although most monitoring sites in Scotland showed declines in NO₂ concentrations from 2010 to 2015, there were several which repeatedly exceeded the annual limit during this period, including sites in Aberdeen, Dundee, Edinburgh, Glasgow and Perth (Table 4). The highest annual mean for 2015 was recorded for **St John's Road, Edinburgh at 65µg m**⁻³, which was the highest level in 4 years. This site has also repeatedly exceeded the hourly limit for NO₂ (18 hours above 200µg m⁻³ per year), taking less than 5 weeks to break the hourly limit for 2016. Most parts of Scotland, however, do seem to be exhibiting declines in NO₂ concentrations. In 2015, **11.3%** (8 of 71) of active monitoring sites **breached the annual limit** for NO₂ in Scotland-an improvement on 2010 (33%) and 2014 (14.7%). The overall average NO₂ concentration for Scottish monitoring sites in 2015 was 28µg m⁻³, representing the lowest recorded average since 2000, and the number of breaches of the hourly limit has generally declined between 2010 and 2015.

Sulphur dioxide

Sulphur dioxide (SO₂) is one of the principal causes of **acid rain**, and is also harmful to human health, causing **reduced lung function and inflammation of the respiratory tract**, and aggravating **asthma**. The main sources of SO₂ are **energy industries**, accounting for 79% of 2013 emissions (Table 3). Since the 1970's, SO₂ emissions from industrial combustion sources in the UK have reduced by 94% and are well below the EU limit. This decline is largely due to the replacement of high-sulphur solid (coal) fuels with **cleaner sources** (e.g. lower-sulphur coal, natural gas and nuclear power) and the use of abatement technology in industrial facilities such as **flue-gas desulphurisation**. SO₂ emissions in Scotland have followed a similar declining trend, except for small peaks in 2006 and 2010 caused by increased coal consumption in power stations (Aether and Ricardo-AEA, <u>2015</u>,). Energy production from coal has now been phased out in Scotland following the closure of the Cockenzie power station in March 2013 and Longannet power station in March 2016, which should result in reductions in Scotland's SO₂ emissions (Macalister, <u>2016</u>). Although SO₂ emissions in Scotland have met the limits set by

UK and EU law for many years, there have been several instances where levels in Grangemouth exceeded the WHO recommended limit of $20\mu g m^{-3} 24$ -hour mean.

Ammonia

Ammonia (NH₃) is a highly reactive and soluble alkaline gas produced by the breakdown of urea (APIS, <u>2016</u>). Concentrations of NH₃ vary widely, with hotspots being in areas with intensive livestock production, particularly cattle (RoTAP, 2012). Livestock sources (manure and slurries) account for approximately 85% of NH₃ emissions in Scotland, with the remaining 15% coming mainly from fertilisers (Sutton *et al*, <u>2004</u>, Table 3). These NH₃ emissions represent a loss of nitrogen from the agricultural system, thereby reducing the nitrogen efficiency of the farms and also representing a major cause of N deposition. From an economic standpoint, it is in the farmer's interest to minimise nitrogen (N) losses as it is a key nutrient for crop growth and an expensive resource in terms of fertilisers.

 NH_3 can undergo chemical reactions in the atmosphere to produce **ammonium** (NH_4^+) **aerosol** which can then be transported over thousands of miles, before being deposited mainly as acid precipitation (Figure 3, Dragosits et al, 2006, Asman et al, 1998, Fowler et al, 1998). It can also react with other pollutants from traffic and industry (NO_x and SO₂) to form secondary PM_{2.5} (ammonium sulphate and ammonium nitrate) to the extent that several studies have claimed that reducing NH₃ emissions is the most effective way to reduce PM_{2.5} under present-day conditions (NAEI, Imperial Consultants Ltd, 2013, Kulmala et al, 2011, Megaritis et al, 2013, Vieno et al, 2016). Indeed, a study conducted in Auchencorth Moss, Scotland, found that ammonium (NH₄) was the single largest contribution to $PM_{2.5}$ in all seasons (Twigg *et al*, 2015). It is important to note, however, that the benefits of reducing NH₃ emissions would be greatest in rural areas and may be less apparent in reducing PM levels in urban areas where measures to reduce road traffic emissions would be far more effective (Fuzzi et al, 2015). Nonetheless, given the abundance of evidence showing the harmful effects of PM on human health, NH₃ pollution constitutes a danger to the health of humans and the environment alike. Also, there are reports that PM pollution is by and large the same in rural and urban areas (). It should be noted, however, that the reactivity of primary and secondary PM_{2.5} may differ, meaning that their detriment to the environment and human health may be different.

Since the 1980s, **NH**₃ **emissions in the UK have fallen** by approximately **15%** (RoTap, 2012, Table 3). In comparison to other sources of air pollution, NH₃ emissions from agriculture have made the smallest reductions (Oenema *et al*, 2011). As they are highly dependent on local agricultural activities, annual NH₃ emissions vary widely from <0.2 kg ha⁻¹ in areas of the Scottish Highlands, to >100 kg ha⁻¹ in lowland areas with intensive poultry farming (Sutton *et al*, 2004). Many of the semi-natural ecosystems characteristic of Scotland's natural heritage, including **heathland**, **bogs**, **and woodlands**, are **threatened by NH**₃ **deposition**. Much of lowland Scotland and the Southern Uplands receive NH_x deposition levels which exceed the environmental effect threshold (Table 2, Sutton *et al*, 2004).

Future efforts to reduce NH_3 emissions may be impeded by the rising demand for dairy, meat and poultry products, with global meat production predicted to rise by 17% between 2014 and 2024 (OECD/FAO, <u>2015</u>). Thus there is mounting pressure on livestock agricultural industry to increase their production efficiency in parallel with reducing their impact on the environment. Alternatively, there would need to be a general reduction in meat consumption.

AIR QUALITY POLICIES

SCOTLAND

The Scottish Government is required to devise its own air quality strategies and policies under Part IV of **The Environment Act** <u>1995</u>. The Scottish Government produced the "Cleaner Air for Scotland: The Road to a Healthier Future" (CAFS) report in 2015 (<u>2015b</u>). It sets out various targets for Scotland, including:

- Achieve compliance with EU limits by 2020
- 10% of everyday journeys made by bike by 2020
- Phase out 50% of all petrol and diesel fuelled vehicles from urban environments by 2030
- Renewables to generate the equivalent of 100% electricity demand, 11% of heat demand and 30% of overall energy demand, all by 2020.

Although air quality management in Scotland mostly falls to local authorities, SEPA also has a part to play through its roles as a regulator of industrial emissions and an advisor for air quality reviews, assessments and action plans. With the approval of Scottish Ministers, SEPA can demand that any Scottish local authorities it deems are failing to make sufficient progress towards meeting the air quality targets take action to resolve this. These actions may require local authorities to:

- Carry out or repeat an air quality review and assessment
- Make an order designating an AQMA
- Revoke/modify any order
- Prepare, modify or implement any part of an action plan (Scottish Government, 2009).

Local authorities can apply for government funding to support the monitoring of air pollutants and the implementation of air quality management plans through three funding schemes (Transport Scotland, 2016). Local Air Quality Management (LAQM) funding is designated for monitoring, modelling and associated work. Between 1999 and 2016, about 36% of the LAQM funding was distributed between four councils: North Lanarkshire, Glasgow, Falkirk and Perth & Kinross. Edinburgh and Aberdeen were allocated comparatively less money (12.31% of total LAQM funding) than other local authorities despite having some (4 out of the top 10) of the worst pollution hotspots in the country in 2015 (Table 4). The funding is not ring-fenced, however, enabling local authorities to decide how to spend the allocated money.

The <u>Air Quality in Scotland</u> website, which is managed by the environmental consultancy group Ricardo Energy & Environment on behalf of the Scottish Government, offers information and advice relating to air pollution, aimed both at adults and children. The website publishes daily air quality forecasts which can also be accessed through the free "Know and Respond" service. Through signing up to this service, members of the public can receive daily alerts (via mobile phone text message or e-mail) when the air pollution levels in their area are forecast to be moderate or high the following day. The forecast uses the <u>Daily Air Quality Index</u> (DAQI) based on the banding system developed by COMEAP which classifies pollutant levels on a scale on 1 to 10 (COMEAP, 2011). This is particularly useful to people who suffer from heart or lung conditions, such as asthma, bronchitis, and cardiovascular disease, as they can plan their activities accordingly to minimise their exposure to potentially harmful levels of pollution which may aggravate their condition.

The "Know & Respond" service has been criticised for its role in advising individuals to restrict their activity to avoid pollution, with some arguing that action should instead be taken to force the polluters to reduce their emissions (RCP, <u>2016</u>). Furthermore, the bandings of pollution levels given in the forecasts on the Air Quality Scotland are based on hourly limits rather than

the annual mean limits (which are lower, see Table 2) which some argue is misleading. For instance, an hourly NO₂ concentration of 153 μ g m⁻³ was classed as "low" on the forecast since the hourly limit is 200 μ g m⁻³, but if it remained at this level all year round the annual average would be far above the legal limit of 40 μ g m⁻³. The Scottish Government's reasoning for this is that its purpose is to warn people of short-term pollution episodes which may exacerbate health problems. However, there is an argument for basing the bandings on annual limits since research indicates long-term exposure to lower levels of air pollution are also damaging to health. The air pollution forecasts issued by Defra (UK Government) are also based on short-term rather than annual limits.

A variety of the policies and management strategies employed across different sectors to tackle air pollution in Scotland and elsewhere are discussed below.

TRANSPORT

The largest contributor to air pollution in the UK is **road transport**, with other sources from the transport sector including aviation and shipping. The growing aviation sector represents a localised source, as aeroplanes release many pollutants, including NO₂, during take-off and landing which can affect areas surrounding airports (EU, 2016). International shipping constitutes a significant source of air pollution (mainly SO_x, NO_x, PM and VOCs) yet has not been as tightly regulated as other emissions source (Fuzzi et al, 2015). Nevertheless, there have been reductions in PM₁₀ emissions from shipping in recent years which may be attributed to the implementation of Sulphur Emission Control Areas (ECAs) which were established to limit SO_x and PM emissions by requiring ships to use low sulphur fuels (International Maritime Organisation (IMO), 2014). These ECAs are located in shipping areas surrounding Europe and the US, including parts of the North Sea. Reductions in shipping emissions were also driven by the economic crisis which stimulated a rise in "slow steaming ships" to save fuel costs (Fuzzi et al, 2015). However, shipping remains a significant source of air pollution and has been estimated to represent the largest single emitter of air pollution in Europe by 2020 (Vidal, 2016). Road traffic emissions do not only affect pedestrians and cyclists outside. In fact, it is the people travelling in the vehicles who are exposed to the highest concentrations of the pollutants, with one study indicating that NO₂ levels were, on average, 21% greater inside the vehicle (with the windows closed) than on the road outside (Air Quality News, 2016).

The Scottish Government's CAFS report (2015b) suggests an array of actions to be undertaken by individuals and businesses to reduce emissions, in addition to several proposals for new monitoring schemes (e.g. the Daily Air Quality Index, National Modelling Framework, National Planning Framework3, environmental impact assessments etc.) and reviews to address the issue. The <u>Transport Scotland</u> website summarises the government's transport-related policies on air quality, which include:

- Promoting **electric vehicles** and electric charging infrastructure, with the Energy Saving's Trust **Low Carbon Transport Loan** (interest-free loan towards the purchase of electric vehicles up to £100,000 for businesses and £50,000 for individuals).
- Promoting and funding active travel schemes
- Supporting low-emission public transport vehicles, such as hydrogen fuel cell buses, via the **Green Bus fund**
- Relieving road congestion to enable traffic to flow much more smoothly

These schemes have led to Aberdeen having the largest fleet of hydrogen fuel cell buses in Europe, and Dundee having the UK's largest electric taxi fleet. Other strategies to reduce traffic-related pollution include the use of **Intelligent Traffic Systems (ITS)**. ITS technologies collect traffic data and control traffic lights to create energy efficient junctions which improve traffic flow and reduce congestion, which can result in significant reductions in NO₂ and PM₁₀

emissions (Kreutzer, <u>2014</u>). A project in Newcastle found that using ITS resulted in 15% reductions in fuel consumption, 66% reductions in vehicles travelling at 5mph or less and reduced congestion (Scottish Government, 2015b).

The Impact of Dieselisation

The shift from petrol to diesel in the 1990's, which occurred throughout Europe, was driven in the UK by the incentivisation of diesel cars through reduced rates of Vehicle Excise Duty and company car tax. This was part of the UK Government's mission to reduce CO_2 emissions, but an unanticipated side-effect was the **higher NO₂ and PM emissions produced by diesel exhausts** in comparison to petrol. Furthermore, some researchers have postulated that any benefits of the lower CO_2 emissions from diesel exhausts are off-set, in part, by a combination of:

- (i) The rising demand for diesel resulting in increased diesel production which in turn produces **higher CO₂ emissions** from refineries,
- (ii) The **global warming effects of PM** (black carbon) from diesel engines (Air Quality Expert Group, <u>2007</u>).

Several measures have been implemented over the last two decades to reduce NO_x emissions from road vehicles, such as **three-way catalysts** for petrol engines and the introduction of **Euro emissions standards**. Yet efforts to reduce NO_x emissions from diesel vehicles appear to have been somewhat limited. In an open letter to the European public and policy makers, a group of air quality researchers and practitioners **criticised the widespread promotion of dieselisation** since the 1990's, claiming that there have been **no improvements in NO_x emissions from diesel cars** and that "with the help of weaker standards, diesel cars have been granted pollution privileges by EU law for over 20 years" (Holman and Helmers, <u>2015</u>). There are calls from lobby groups and think tanks to de-incentivise diesel vehicles by **taxing diesel more heavily**, with the revenues being used to fund a **scrappage scheme** for old diesel cars to be switched for lower emission vehicles (Harvey, <u>2014</u>, Carrington, <u>2016</u>, Howard, Beevers and Dajnak, <u>2016</u>).

Box 4. The Volkswagen Affair

In September 2015, Volkswagen came under fire from the Environmental Protection Agency (EPA) in the US for using a "**defeat device**" to cheat the emissions test¹. Software in the diesel engines were able to detect when the car was in test conditions, adjusting their performance in order to meet the emissions limits. The EPA found that in normal road driving conditions, the engines emitted up to **40 times more NO**_x than is permitted in the US. The case was widely publicised, and VW eventually admitted to the deceit, announcing that around 11 million cars worldwide were fitted with the device, including 1.2 million cars in the UK¹.

VW has been hard hit as a result, with the Chief Executive Martin Winkerton resigning and a fall of 14% in VW sales in January 2016 compared to the previous year. The US sued VW on behalf of the EPA, announcing that "car manufacturers that fail to properly certify their cars and that defeat emissions control systems breach the public trust, endanger public health and disadvantage competitors". VW, who could be fined up to \$18 billion for their violation of the Clean Air Act, announced in February 2016 that they would pay out \$1,000 in compensation to each of the 600,000 owners of the fraudulent cars in the US². Meanwhile, affected car owners in the UK will not receive monetary compensation, but instead will be offered a "loyalty bonus" and have alterations made to their cars (AdBlue or a software adjustment depending on the size of the engine), since the emissions requirements are less stringent than those of the US³.

Further consequences

The revelation of the VW emissions case emphasised the need to reassess emissions testing in the motor manufacturing industry. The technology used by VW to cheat emissions tests appears to be more widespread than previously thought, with manufacturers including Mercedes-Benz and Audi also coming under scrutiny⁴. A report by the consumer watchdog *Which?* found that when a more realistic emissions testing method was employed, **95% of diesel cars exceeded EU NO_x limits**, as did 10% of petrol cars tested⁵. The exceedances were found to be highly significant, with many cars having NO_x emissions **up to 500%** above the EU limits)⁶.

The VW case prompted the European Commission to review the current testing procedures. In January 2016, the EC announced changes to the emissions testing which would make the process **more independent** and make car manufacturers liable to **fines** of \in 30, 000 per vehicle which fails to comply with the regulations⁷. The following month, the European Parliament voted in favour of adopting **real driving emissions (RDE)** testing to replace laboratory-based testing. However, the test will only be fully enforced across Europe from 2019, which some argue is too late. Furthermore, to allow car manufacturers some leeway in adapting to the new regime, MEPs narrowly voted in favour of **relaxing NO_x emissions standards** allowing them to be twice as high as the current limit set by Euro 6 standards from 2019 until 2021, and 50% thereafter⁸. The UK Government was criticised by commentators for bowing to pressures from car manufacturers to permit the higher emissions.

- 1 BBC News, 10 December 2015 Volkswagen: The scandal explained.
- 2 Reuters, 7 February 2016 Volkswagen to offer generous compensation for U.S. customers: fund head.
- 3 Autoexpress, 8 February 2016 VW emissions scandal: everything you need to know
- 4 WSWS, 20165
- 5 Which?, 2016
- 6 AirQuality News, 2016
- 7 Air Quality News, 2016
- 8 Nelsen, <u>2016</u>

Emissions testing

The laws regarding vehicle emissions in the UK fall under <u>The Road Vehicles (Construction and Use) Regulations 1986</u>, which incorporates European legislation¹. In Scotland, all local authorities have the powers to carry out **roadside emissions testing** under the <u>Road Traffic (Vehicle Emissions) (Fixed Penalty) (Scotland) Regulations 2003</u>. The fixed penalty fines are **£60 for failing an emissions test** (committing an emissions offence) and £20 for stationary idling. The emissions penalty may be waived if the owner of the vehicle rectifies the problem within 14 days of failing the test. The efficacy of this scheme came into question following a report that **only 13 of the 32 councils** in Scotland had conducted roadside emissions testing (BBC News, <u>2016</u>). The Scottish Government has emphasised that it is up to individual authorities to decide whether conducting roadside emissions testing would be a cost effective and appropriate measure for addressing the specific air quality issues faced in their areas.

Diesel particulate filters and Selective Catalytic Reduction

Diesel particulate filters (DPFs) were first introduced into European passenger cars in 2000. DPFs use catalytic oxidation reactions **to remove up to 95% of particulates** from diesel exhaust emissions (IARC Working Group on the Evaluation of Carcinogenic Risk to Humans, 2014). DPFs generally will operate efficiently after 15 minutes of driving at a constant speed as they require heat to operate properly. Gradually a layer of soot builds up on the filter which initially improves the efficiency of PM removal, but it must be periodically removed in order to maintain the efficiency of the DPF, which costs around £90, whereas to replace or repair a DPF can cost as much as £3,000 (Boyce, 2014). It became common for people to have the DPF removed to avoid these maintenance costs and to increase the fuel efficiency of the vehicle which may be impaired by DPFs over time. Consequently, a rule was introduced in February 2014 whereby any car which has had a DPF removed will fail its MoT and incur fines of up to £1,000 for a car and £2,500 for a light goods vehicle (UK Government, 2013).

AdBlue® is a urea-based liquid additive which **removes up to 90% of NOx from diesel exhausts** by converting them into harmless nitrogen gas (N₂) and water vapour (H₂O) through the process of **Selective Catalytic Reduction (SCR)**. Due to the size of the tank required to accommodate AdBlue, it is **only available for use in larger vehicles** (tractors, heavy goods vehicles and buses) and since 2006 most newly-built buses and large vehicles have been fitted with AdBlue-compatible SCR systems (GreenChem, <u>2013</u>). The installation of SCR is more beneficial to long-haul vehicles as research shows that NOx emissions from SCR-fitted vehicles is substantially lowered for motorway-type driving, whereas for stop-start urban driving SCR actually causes a large increase in NO_x emissions (Ligterink *et al*, 2009 and Velders *et al*, 2011).

Another fuel additive is **Envirox**TM (Energenics Europe Ltd., U.K.) which reduces total PM emissions from diesel exhausts using cerium oxide (also known as ceria) nanoparticles which act as a catalyst. It also improves fuel efficiency and reduces hydrocarbon and CO_2 emissions, and has been used widely in the transport sector since 2005. However, a study by Zhang et al (2013) suggests that the environmental and human health benefits of EnviroxTM may be offset by their observations of simultaneous increases in ultrafine PM by more than 30% and NO_x by almost 10%.

¹ Community Directive 72/306 and 77/537, ECE Regulation 24.01, 24.02; 24.03; Community Directive 78/665 or 83/351 or ECE Regulation 15.03 or 15.04.

Non-exhaust emissions

Non-exhaust sources, mainly **brake**, **tyre and road wear**, are thought to **contribute almost equally to road traffic PM**₁₀ **emissions** as exhaust emissions. Brake wear emissions are greatest in urban areas where stop-start driving is common, and the PM it produces contains **chemicals** (e.g. copper) which are potentially **toxic to humans**. However, whilst controls on vehicle exhaust emissions have tightened, the contribution of brake and tyre wear to trafficrelated PM₁₀ emissions have increased and there are currently **no policies** in place to address this (Grigoratos and Martini, <u>2014</u>, van der Gon *et al*, <u>2012</u>). At present, research looking at the effect of brake or tyre wear particles on human health is lacking, suggesting that non-exhaust emissions represent a gap in both air quality research and policy (Grigoratos and Martini, 2014).

PM pollution can also arise from the suspension of **road dust** particles. **Dust suppressants** can be used to reduce these PM emissions by effectively sticking the particles to the road surface, as demonstrated in many European countries. This method for reducing air pollution was trialled in London in 2013, however it produced mixed results suggesting that dust suppressants have **limited effectiveness**, only reducing PM levels substantially in areas surrounding industrial and construction sites (Barratt *et al*, <u>2012</u>).

Congestion charges and Low Emission Zones (LEZs)

Local authorities in Scotland have the power to introduce **road user charging** (i.e. congestion charges) schemes on local roads (excluding trunk roads) under Part 3 of the **Transport** (Scotland) Act 2001 and Road User Charging Schemes (Keeping of Accounts and Relevant Expenses) (Scotland) Regulations 2004. The charges can be varied according to any of the following factors:

- (a) day of the week
- (b) time of day
- (c) road
- (d) distance travelled
- (e) purpose of the journey
- (f) number of occupants
- (g) class of motor vehicle.

In 2005, a referendum was held in Edinburgh on whether to introduce a congestion charge to the inner and outer cordons of the city which would cost £2 a day, with penalty fines of £60 for those who do not comply. The proposal was overwhelmingly rejected, with **74.4% of residents voting against** the congestion charge from a turnout of 61.8% of registered voters (BBC News, 2005). The turnout for the vote was higher among car owners, who were thought to be more likely to oppose the plans in comparison to people who did not own a car (Gaunt *et al*, 2007). To date, this has been the only attempt at introducing congestion charges in Scotland.

Low Emissions Zones (LEZs) are areas (usually pollution hotspots) where cars are only allowed entry if they meet certain emission standards. In comparison to congestion charging, LEZ's can be a less attractive option given that they are not as cost-effective, potentially being costly to introduce as they require national coordination; a network of cameras which capture licence plate numbers for the identification of vehicles that are above the emission limits; and the issuing of fines. Although several authorities in Scotland have considered LEZs, none have gone beyond the feasibility assessment stage (Scottish Government, <u>2015</u>).

LEZs and congestion charges have been successfully introduced elsewhere in the UK and Europe. Beevers and Carslaw (2005) found that congestion charges in London resulted in significant reductions in NO_x and PM₁₀ emissions. The **London LEZ** applies to heavy goods vehicles and larger minibuses only, with those not meeting the required emission standards

being **charged up to £200**, or else face a **penalty fine as great as £1,000**. In Copenhagen, Berlin and Amsterdam, cars which are non-EURO emission standard vehicles or diesel cars without diesel particulate filters are restricted from entering the city (European Environmental Bureau, <u>2005</u>). **Increasing parking prices** in cities can also help to significantly reduce emissions, as shown in Beijing where increased parking prices resulted in a 26.9% reduction in its congestion index and a 3.3% increase in bus journeys made (Wang *et al*, <u>2014</u>). The revenues raised from congestion charges and parking levies can then positively feedback into the system by being reinvested into public transport and active travel.

Electric vehicles

The Scottish Government has proposed to **decarbonise its transport sector by 2050**, so that "Scottish towns, cities and communities will be free from the damaging emissions of petrol and diesel fuelled vehicles" (Transport Scotland, 2013). To achieve this goal, there must be a widespread adoption of **electric vehicles (EVs)** and the accompanying infrastructure to support them. EVs are both environmentally friendly and economical as they are **exempt from road tax** and are approximately **12p per mile cheaper to run** than the average petrol car (Energy Savings Trust, 2015). Additionally, in 2015 the Scottish Government announced the "Switched on Fleets" initiative, £2.5million project which offers **interest-free loans up to £50,000** towards the purchase of **new electric or plug-in hybrid vehicles** for public sector fleets (Energy Savings Trust, 2015, Scottish Government, 2015f). The UK Government offers grants up to £4,500 for electric cars and £8,000 for electric vans (UK Government, 2016b). The programme saw the introduction of 145 new electric vehicles in the fleets of 22 public sector organisations over the first year.

In "Switched on Scotland: A Roadmap to Widespread Adoption of Plug-in Vehicles" the Scottish Government sets out proposals to establish a network of rapid charging points at 50 mile intervals along Scotland's principal roads, and by February 2015 over 1,500 public EV charging points were installed (Transport Scotland, 2013, Zap-Map, 2016). Although most charge points take up to 8 hours to fully charge an EV, the "rapid charge" points can achieve 80% charge in **30 minutes** (Energy Saving Trust). The "ChargePlace Scotland" initiative, which launched in 2010, enables drivers to charge their EV's for free (with a £20 membership fee), and also offers full funding for the installation of domestic, commercial and community charging points (Hitchcock et al, 2015). There was a significant rise in the use of charging points in Scotland between 2013 and 2014, however only 55% of charging points were used in August 2014. By the end of 2014, the number of electric (pure electric, plug-in hybrid and range extender) vehicles registered in Scotland was 1,469, comprising 1,321 cars, 29 guadricycles, 117 LCVs (light commercial vehicles) and 2 HCVs (heavy commercial vehicles) (SMMT, 2016). Dundee has the greatest uptake of EVs in the whole of the UK, with over 30 charging stations, approximately 60 cars in the City Council's fleet, and over 40 electric taxis (Scottish Government, 2015b).

It can be argued that electric vehicles have "hidden" emissions in that they are powered by electricity which may be generated from fossil fuels. However, this would not be the case if the electricity is generated by renewables, and if not, then at least the emissions in cities where pollution is greatest would still be reduced.

ACTIVE TRAVEL

Cycling

Cycling not only offers a solution to the problem of air pollution, but also serves to benefit **human health and wellbeing**, which in turn would lessen the burden on health services. In 2010, the Scottish Government launched its first "Cycling Action Plan for Scotland", which

pledged that **10% of everyday journeys would be made by bike by 2020** (Scottish Government, <u>2010b</u>). Although the number of people using bikes for their daily commute in Scotland has risen over the past few years, there is still considerable progress to be made, with only 1% of everyday journeys being made by bike in 2013.

Research shows that the health benefits of cycling significantly outweigh the risks of accidents and air pollution by a factor of at least ten (Rabl and de Nazelle, 2012, de Hartog et al, 2010). Nevertheless, fears of injury and concerns regarding road safety are common barriers to people choosing to cycle regularly in the UK. This may be addressed by implementing cycling as a compulsory topic in the national school curriculum and work training schemes, as demonstrated by the Netherlands, Germany and Denmark. In addition to making improvements and expansions in the national cycling network, the provision of cvcling safety schemes could significantly boost the number of people choosing to cvcle rather than use pollution emitting modes of transport. Currently, Cycling Scotland has a "Bikeability Scotland" scheme in place which is a three-tiered cycling training programme aimed at P3 to P7 school children, covering a range of skills starting with the basics of cycling up to navigating complex junctions and journey planning. Although the training is not yet compulsory across all schools, 68.5% of pupils receive some form of cycle training at primary school (Scottish Parliament, 2012). A detailed SPICe briefing on cycling and walking policy, statistics and funding in Scotland is available on the Scottish Parliament website (Rehfisch, 2014).

The **Netherlands** has long been an exemplar nation for cycling safety. Despite having many more cyclists on the roads (27% of journeys are made by bike in the Netherlands, compared to just 2% in the UK), the Netherlands has a much lower fatality rate among cyclists than the UK (Donaldson, <u>2010</u>; Wardlaw, <u>2014</u>). This is attributed to the better quality of the Netherlands' **cycling infrastructure**, which involves safe cycle parking, education of both cyclists and motorists, legal protection of safe road users and promotion of cycling by local councils (Wardlaw, 2014). The **London** Mayor, Boris Johnson, has advocated cycling in the capital, leading to the opening of the first segregated "**bike superhighways**" in 2016- 18 mile cycle routes with protected junctions which run from east to west London (Walker, <u>2016</u>).

AGRICULTURE

Despite being a leading source of ammonia, methane and nitrous oxide emissions, the policies and controls surrounding emissions from the agricultural sector are considered to be weak (UNECE, 2014, EC Joint Research Centre (ECJRC), 2015). The regulations relating to fertiliser use, which are listed in the EC Water Framework Directive (EC, 2000) and Nitrates Directive (EC, 1991), are considered to be effective in preventing large N losses from fertilisers applied to fields, however there is little in the way of restrictions on livestock NH₃ emissions. The Gothenburg Protocol (2012) and the EC's <u>Clean Air Policy Package</u> (UNECE, 2014) both recommend a number of agricultural methods to **reduce agricultural NH₃ emissions**, relating to:

- Manure storage
- Animal housing
- Livestock feeding strategies
- Low-emission manure spreading techniques and storage systems
- Nitrogen management

Despite these mandated mitigation measures, reductions in NH_3 emissions in Europe have been minor. The **exceptions are Denmark and the Netherlands**, which have both demonstrated that NH_3 emissions can be successfully cut using effective mitigation policies.

These policies, which included the introduction of covers on solid manure and slurry stores and lower emission manure spreading (injecting instead of spraying/spreading), have led to reductions in NH₃ emissions as great as 50% without hampering agricultural productivity (Fowler *et al*, 2013). The economic costs of the environmental damage from NH₃ emissions have been shown to far outweigh the costs of implementing reduction measures (Fowler *et al*, 2013). The **environmental damage** caused by N pollution from agriculture has been estimated to cost the EU up to £115 billion per year, whilst abatement costs for the EU have been valued at £0.46 billion annually (Fowler *et al*, 2013). Analysis of the ratio of cost and benefits of emission reductions indicates that the potential to cost-effectively reduce NH₃ emissions is triple that of reducing NO_x (mainly from transport and industry) (ECJRC, 2015).

Box 5. Air pollution strategies abroad

Beijing, China

Beijing, China is infamous for being one of the most polluted cities in the world. As host to the 2008 Olympic Games, the Chinese government agreed to impose temporary measures to substantially reduce air pollution in the capital. These measures included the introduction of stricter vehicle emission standards (Euro IV); the relocation/closure of factories; increased fuel (gas) prices; introduction of compressed natural gas-fuelled and retrofitted buses; and the suspension of construction projects^{1, 2}. The **odd-even car** traffic-reduction scheme was also introduced, whereby vehicles with licence plates ending in odd and even numbers are allowed on the road on alternating days³. This oddeven strategy has also been used in Delhi, Rome, Paris, and Mexico City⁴. These measures resulted in short-term improvements in Beijing's air quality, reducing daily concentrations of SO₂ by as much as 60%; CO by 48%; NO_x by 47%; PM₁₀ by 55% and **PM_{2.5} by 27%** during the Olympic Games^{1,5}.

Such was the extent of the improvements in air guality, that it was reflected in improved health of the local population. Health benefits of the reduced pollution levels in Beijing included:

- Increased birth weight: a peak in birth-weights of babies with those in their third • trimester in 2008 weighing on average 23 grams more than those at the same stage of pregnancy in 2007 or 2009.
- Improved heart health: during the period of improved air quality, there were • significant decreases in the number of cardiovascular and respiratory deaths 1,3
- Fewer outpatient asthma visits: there was a 41.6% decrease in the average daily asthma visits which was attributed to the reduction in PM pollution.⁷

The Games had raised awareness of China's air pollution problem, demonstrating that the implementation of certain measures can successfully improve air quality to the extent that significant improvements in public health are achieved.

Milan, Italy

An example of successful traffic management strategies abroad includes the "Area C" zone in Milan. It combines LEZ and congestion charge schemes to only allow vehicles which are of Euro 3 (diesel) or Euro 1 (petrol) standard entry into the zone upon payment of a charge. As a result, incoming traffic declined by 30%, whilst emissions of trafficrelated pollutants fell by the following amounts:

- PM₁₀ by 18%
- NO_x by 10%
- NH_3 by 31%
- CO₂ by 22%
- Black Carbon (BC) by 43%⁸

1 Zhang *et al* (<u>2013</u>) 2 UNEP (<u>2009</u>)

- 3 Guojun et al (2015) 4 European Environmental Bureau, 2005
- 5 Wang, S. et al (2010)
- 6 Rich et al (2015)
- 7 Li et al (2010)
- 8 Urban Access Regulations (2015)

ENERGY PRODUCTION

The energy industry is another leading source of emissions (Table 3). Energy is largely a reserved matter, although Scotland does have powers to promote renewable energy generation and energy efficiency, and gains new powers for onshore oil and gas licensing under the Scotland Act 2016 (UK Government, 2016). In terms of air pollution, the energy industry is regulated by the **Industrial Emissions Directive (IED)** 2010/75/EC which sets the annual emission standards for SO₂, NO_x, and PM from large combustion plants (LCPs) implemented in the UK's **National Emission Reduction Plan** (NERP) (UK Government, 2016). Under Article 32 of the IED, existing LCPs can establish a Transitional National Plan (TNP) which will exempt them from emission limits between 1st January 2016 and 30 June 2020, instead imposing an annual emissions "ceiling". The TNP allows participants to trade these emissions allowances with other participating plants.

The main sources of power generation are **coal**, **oil**, **gas**, **nuclear and renewable**. In their <u>Electricity Generation Policy Statement</u> (EGPS) (Scottish Government, <u>2013b</u>), the Scottish Government proposes to have a **largely decarbonised electricity system by 2030**. This largely requires the expansion of **renewable** energy, alongside developments in thermal capacity and the employment of **Carbon Capture and Storage (CCS)** technology. The Government intends to gradually phase out nuclear power, with the two remaining stations, Hunterston B and Torness, due to close in 2023 and 2030 (at the earliest) respectively (Scottish Government, 2013b, BBC News, <u>2016</u>). The Scottish Government (2011, 2013b) has set the following **targets for 2020**:

- at least 30% overall energy demand from renewables
- 100% of gross annual electricity consumption from renewables
- 30% of total Scottish energy demand from renewables
- 11% heat demand and 10% of transport fuels from renewables
- 500 MW community and locally-owned renewable energy

Scotland has the **largest offshore renewable energy resources in the EU**, accounting for 25% of the EU's offshore wind and tidal power, and 10% of wave power (Scottish Government, 2013b). In 2014, **49.7%** of Scotland's **gross electricity consumption** was generated by **renewables**, overtaking nuclear power as the leading source of electricity for the first time. This came mainly from wind (62%) and hydro (29%) power, and was on course to meet the 2015 interim target of 50% (Scottish Government, <u>2016</u>).

In 2014, the UK Government approved funding for the world's first gas CCS project at the Peterhead power station in Aberdeenshire through collaboration between SSE and Shell (UK Government, 2014). The CCS technology would capture up to 1 million tonnes of CO_2 each year, which will be transported 100km offshore to be stored 2km under the North Sea in the Goldeneye gas field (UK Government, 2014). However, this £1 billion grant was later dropped and the project abandoned (BBC News, 2015). This may impede the Scottish Government's target to demonstrate CCS at a commercial scale in Scotland by 2020 (Scottish Government, 2013b).

Coal-use has declined for several decades in Scotland, which has resulted in large declines in SO_2 emissions over the past two decades (Scottish Government, 2013c). Scotland's last coalfired power station at Longannet in Fife, which is the second largest in the UK and accounted for almost 20% of Scotland's total power generation, closed on 24th March 2016. There were a number of reasons for the closure, including financial sustainability, carbon pricing and air pollution regulations (BBC News, 2015b, Macalister, 2016). The depletion of fossil fuels has led to increased energy prices and has also driven the use of alternative fuels which may affect air pollution. **Wood burning** as a method of home heating is becoming increasingly popular in Europe. Wood smoke contributes to both outside and indoor air pollution, comprising PM₁₀, SO₂, CO, NOx and other gases which are associated with chronic bronchitis, reduced lung function, impaired resistance to infections, and aggravation of asthma (Zelikoff *et al*, 2002, Bølling *et al*, 2009). In the UK, the <u>Smoke Control Areas (Exempt Fireplaces) (Scotland) Order 2007</u> provides an exemption to section 20 of the Clean Air Act 1993, and permits the use of wood-burning stoves and fireplaces in smoke control areas provided the fuel and the appliance are included in the approved list published by <u>Defra</u>.

The UK Government has indicated support for the **hydraulic fracturing (fracking)** industry as a source of shale gas and unconventional oil (Hellier, 2015). Fracking could have implications for air pollution, as demonstrated in a report by researchers at the University of Newcastle which indicated that fracking could lead to short-term **increases in NO_x as great as 30%** owing to the impact of road traffic generated by fracking operations (Goodman *et al*, 2016). In 2015, the Scottish Government announced a **moratorium on fracking in Scotland**, awaiting further research and public consultation (Scottish Government, 2015e). This announcement, which came days after the UK Government rejected a moratorium, acknowledged the dangers of increased traffic emissions associated with on-shore fracking.

URBAN PLANNING

The layout of buildings and roads can have substantial impacts on air pollution. Many of the UK's pollution hotspots are located on streets lined with tall buildings ("street canyons") which impede air circulation, preventing the dissipation of emissions from vehicle exhausts. Schools are often located near busy roads with high levels of air pollution, which could have harmful effects of the health of young children, given they are one of the most vulnerable groups. The Scottish Planning Policy (Scottish Government, <u>2014</u>) requires that planning authorities consider the need for **buffer zones** "which will protect all sensitive receptors from unacceptable risks" at distances which meet the approval of the Scottish Government. A "**sensitive receptor**" is defined as an environmental factor (e.g. air) which is likely to be significantly affected by a development.

The Scottish Government has funded schemes to impose **20mph zones around primary schools** and some local authorities have imposed **parking restrictions** on roads in the vicinity of schools at the start and end of the school day (Scottish Government, <u>2016</u>). These measures should help to reduce the exposure of children to air pollution.

The creation of "**green spaces**" in urban areas is a relatively easy and cheap way to reduce air pollution. Vegetation can help to reduce air pollution levels by improving air flow, absorbing gasses and providing a greater surface area for deposition of PM. In various scenarios, both urban and rural, an increase in **tree cover** has been shown to:

- reduce NH₃ deposition by up to **30%**²
- reduce SO₂ and O₃ concentrations by 20%³
- remove up to 26% of primary PM₁₀⁴

The Scottish Government promoted the implementation of green spaces in its <u>Green</u> <u>Infrastructure: Design and Placemaking</u> report in 2011. Tree planting is not without its drawbacks, however, as various species produce volatile organic compounds (VOCs) which can

² Dragosits *et al*, <u>2007</u>

³ Beckett *et al.*, <u>1998</u>

⁴ McDonald *et al*, <u>2007</u>

actually reduce air quality, may produce pollen which could aggravate symptoms in hay-fever sufferers and can also result in the accumulation of toxic pollutants in the soil (Dragosits *et al*, <u>2007</u>). Nonetheless, the overall benefits of air quality improvements may well outweigh these costs.

AIR POLLUTION AND CLIMATE CHANGE

The merging of **air quality and climate change policies** where possible can help to both minimise confusion and maximise effectiveness. This involves a cross-departmental effort as it affects a broad array of sectors, chiefly **health**, **the environment**, **transport and agriculture**. Dr Carlos Dora (Coordinator for Public Health, Environmental and Social Determinants of Health for the World Health Organisation (WHO), <u>2014</u>) argues that the measures to reduce air pollution are generally "more economical in the long term due to **health-care cost savings** as well as **climate gains**". It is also important to consider that emissions of air pollutants may be affected by climate change, although the impacts of this remain unclear (RoTAP, 2012).

CRITICISMS OF AIR QUALITY GOVERNANCE IN THE UK & SCOTLAND

UK

Before publishing the plans as demanded by the Supreme Court (Box 3), the UK government launched a public consultation on their proposed plans and received a total of 729 responses (Defra, <u>2016</u>, IAQM, <u>2015</u>, London Council, <u>2015</u>, Holder, <u>2015</u>, Birkett, <u>2015</u>). The main criticisms of the plans were that they:

- Lacked ambition: particularly with regard to road transport, with several calling for the inclusion of scrappage schemes, and changes to vehicle excise and fuel duty. The Clean Air Zones and Low Emissions Zones (LEZs) were accused of being too small and too lenient with many LEZ's applying restrictions to buses only.
- Lacked evidence and technical information: the computer modelling methodology that was used in the report to predict future road transport emissions is widely believed to significantly underestimate actual road emissions.
- Lacked urgency: the plans estimate that NO₂ limits would be met by 2025 in London and 2020 in most other zones, which some argued is over-optimistic under the proposed plans, and does not comply with the EU stipulation that legal pollution levels should be achieved in the shortest possible time.
- Placed **too much responsibility on local authorities:** which lack the power and funding for tackling air pollution.

More recently, the House of Commons Environment, Food and Rural Affairs Committee (2016) called for urgent Government action to halt air pollution related deaths, which it called a "public health emergency" – recommendations include:

- Greater devolution of controls over the implementation of Clean Air Zones; Communities must be able to tailor controls to meet their own circumstances, e.g. to charge vehicles to access Zones at certain times of day or to target specific bus routes.
- Cut transport emissions by introducing a vehicle scrappage scheme for diesel engines that are over 10 years old.

• Tackle agricultural emissions by helping farmers to adopt modern practices that cut emissions of greenhouse gases and local air pollutants including ammonia.

Scotland

Several commentators and stakeholder organisations, including Friends of the Earth Scotland (FoES) and the Institute of air Quality Management (IAQM), commended the Scottish Government's CAFS report for the **ambitious targets** it sets (listed above) and its vision that **"Scotland's air quality will be the best in Europe"** (IAQM, 2015). It was also praised for its recognition of the **importance of town planning** and **climate change** in shaping air pollution policies.

However, FoES claimed that the CAFS report **lacks robust and sufficient plans for transport** and that the proposed efforts to encourage a "modal shift" (i.e. getting vehicles off the roads and more people walking/cycling instead) encapsulated in the Cycling Action Plan for Scotland (CAPS) and the National Walking Strategy Delivery Plan were too weak. As with the UK Government's proposals, the Scottish Government's prediction that compliance with EU limits would not be achieved until 2020 was deemed to be too late by some (Holder, <u>2015</u>). Some argue that CAFS **does not comply with Annex XV** of the EC Ambient Air Directive with respect to the list of information which must be included in the plans, such as an "estimate of the improvement of air quality planned and of the expected time required to attain these objectives". These points were amongst those raised during a Scottish Parliament debate on air pollution in November <u>2015</u>.

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